

**Fees & Commission Charges for Trade in Hong Kong Shares 证券服务收费表**

Services 服务	Charges 收费
<b>• Transactions 交易</b>	
Commission – By Phone & By Internet 交易佣金 - 电话交易及网上交易	0.25% On Gross Amount (Min HK\$100) 每宗交易额之 0.25% (最低\$100 港元)
Stamp Duty 印花税	0.1% On Gross Amount (Rounded Up To the Nearest Dollar) 每宗交易额之 0.10% (不足\$1 港元亦作\$1 港元计算)
SFC Transaction Levy 证监会交易征费	0.0027% On Gross Amount 每宗交易额之 0.0027%
HKEX Trading Fee 香港交易所交易费	0.005% On Gross Amount 每宗交易额之 0.005%
CCASS Clearing Fee 中央结算所结算费	0.003% On Gross Amount (Min HK\$5) 每宗交易额之 0.003% (最低\$5 港元)
<b>• Nominee Services &amp; Corporate Actions 代理人服务及企业行动</b>	
Scrip Fee (Book Close) 截止过户费	HK\$1.5 Per Board Lot 每手股票\$1.5 港元
Corporate Action Services Fee 代履行权责服务费	HK\$2 Per Board Lot (Min HK\$100) 每手股票\$2 港元 (最低收费\$100 港元)
Cash Dividend Collection 代收现金股息	0.5% On Gross Dividend (Min HK\$50) 股息之 0.5% (最低收费\$50 港元)
Scrip Dividend Collection 代收股票股息	HK\$2 Per Board Lot (Min HK\$50) 每手股票\$2 港元 (最低收费\$50 港元)
Handling Charge for Claiming Unclaimed Benefits Entitlement 代申请发还未领取权益费	HK\$100 Per Transaction (Min HK\$500) 每期\$100 港元 (最低收费\$500 港元)
Warrant / Callable Bull/Bear Contracts Exercise or Mandatory Call of Callable Bull/Bear Contracts 行使认股权证/牛熊证 或 强制赎回牛熊证	HK\$100 Per Transaction & CCASS Fee 每项\$100 港元另加中央结算收费
Rights & Warrants Exercise Fee 认购权及认股权证行使费	HK\$ 2 Per Board Lot (Min HK\$100) 每手股票\$2 港元 (最低收费\$100 港元)
Excess Rights Exercise Fee 额外认购权行使费	HK\$100 Per Transaction 每宗交易收费\$100 港元
Cash Offer & Shares Privatization 现金收购及股票私有化	0.2% On Gross Offer (Min HK\$100 & CCASS Fee) 收购总金额之 0.2% (最低收费\$100 港元另加中央结算收费)
<b>• Scrip Handling and CCASS Settlement-related Services 处理实物股票及中央结算服务收费</b>	
Stock Deposit via CCASS (SI) (Per Stock) 股票转入 - 经中央结算 (每只股票)	Free 免费
Stock Withdrawal via CCASS (SI) (Per Stock) 股票转出 - 经中央结算 (每只股票)	0.1% of Market Value (Min HK\$100) 按股票市值计 0.1% (最低收费\$100 港元)
Stock Deposit via CCASS (ISI) (Per Stock) 股票转入 - 经投资者中央结算 (每只股票)	Free 免费
Stock Withdrawal via CCASS (ISI) (Per Stock) 股票转出 - 经投资者中央结算 (每只股票)	0.1% of Market Value (Min HK\$100) 按股票市值计 0.1% (最低收费\$100 港元)
Stock Withdrawal (Physical) 提取股票 (实货)	HK\$ 5 Per Board Lot (Min HK\$100) 每手股票收费\$5 港元 (最低收费\$100 港元)
Stock Registration 股票转名	HK\$ 2.5 Per Board Lot & HK\$1,000 Handling Charge 每手股票\$2.5 港元另加\$1,000 港元手续费
<b>• Other Services 其他费用</b>	
EIPO Application (Non-financing & through our nominee) 电子认购新股 (不融资及不经本公司代理人)	HK\$100 Handling Charge 手续费\$100 港元
FIPO Application(Margin) 认购新股 (保证金)	HK\$300 Handling Charge 手续费\$300 港元
Telegraphic Transfer (T/T) 电汇	HK\$100 Per Remittance Plus Bank Charges \$100 港元另加银行实收费用
Statement Reprint Charges 重发非当日结单费用	HK\$50 Per Statement 每份\$50 港元
Audit Confirmation (Applicable to Corporate Account) 进行核数证明 (适用于公司账户)	HK\$ 200 For Each Account 每账户\$200 港元
Request for Dormant Account Confirmation 索取不动户证明信	HK\$100 Per Certificate 每封\$100 港元