

## Fees & Commission Charges for Trade in Hong Kong Shares 證券服務收費表

Services 服務	Charges 收費
<b>• Transactions 交易</b>	
Commission – By Phone & By Internet 交易佣金 - 電話交易及網上交易	0.25% On Gross Amount (Min HK\$100) 每宗交易額之 0.25% (最低\$100 港元)
Stamp Duty 印花稅	0.1% On Gross Amount (Rounded Up To the Nearest Dollar) 每宗交易額之 0.10% (不足\$1 港完亦作\$1 港元計算)
SFC Transaction Levy 證監會交易徵費	0.0027% On Gross Amount 每宗交易額之 0.0027%
HKEX Trading Fee 香港交易所交易費	0.005% On Gross Amount 每宗交易額之 0.005%
CCASS Clearing Fee 中央結算所結算費	0.003% On Gross Amount (Min HK\$5) 每宗交易額之 0.003% (最低\$5 港元)
<b>• Nominee Services &amp; Corporate Actions 代理人服務及企業行動</b>	
Scrip Fee (Book Close) 截止過戶費	HK\$1.5 Per Board Lot 每手股票\$1.5 港元
Corporate Action Services Fee 代履行權責服務費	HK\$2 Per Board Lot (Min HK\$100) 每手股票\$2 港元 (最低收費\$100 港元)
Cash Dividend Collection 代收現金股息	0.5% On Gross Dividend (Min HK\$50) 股息之 0.5% (最低收費\$50 港元)
Scrip Dividend Collection 代收股票股息	HK\$2 Per Board Lot (Min HK\$50) 每手股票\$2 港元 (最低收費\$50 港元)
Handling Charge for Claiming Unclaimed Benefits Entitlement 代申請發還未領取權益費	HK\$100 Per Transaction (Min HK\$500) 每期\$100 港元 (最低收費\$500 港元)
Warrant / Callable Bull/Bear Contracts Exercise or Mandatory Call of Callable Bull/Bear Contracts 行使認股權證/牛熊證 或 強制贖回牛熊證	HK\$100 Per Transaction & CCASS Fee 每項\$100 港元另加中央結算收費
Rights & Warrants Exercise Fee 認購權及認股權證行使費	HK\$ 2 Per Board Lot (Min HK\$100) 每手股票\$2 港元 (最低收費\$100 港元)
Excess Rights Exercise Fee 額外認購權行使費	HK\$100 Per Transaction 每宗交易收費\$100 港元
Cash Offer & Shares Privatization 現金收購及股票私有化	0.2% On Gross Offer (Min HK\$100 & CCASS Fee) 收購總金額之 0.2% (最低收費\$100 港元另加中央結算收費)
<b>• Scrip Handling and CCASS Settlement-related Services 處理實物股票及中央結算服務收費</b>	
Stock Deposit via CCASS (SI) (Per Stock) 股票轉入 - 經中央結算 (每隻股票)	Free 免費
Stock Withdrawal via CCASS (SI) (Per Stock) 股票轉出 - 經中央結算 (每隻股票)	0.1% of Market Value (Min HK\$100) 按股票市值計 0.1% (最低收費\$100 港元)
Stock Deposit via CCASS (ISI) (Per Stock) 股票轉入 - 經投資者中央結算 (每隻股票)	Free 免費
Stock Withdrawal via CCASS (ISI) (Per Stock) 股票轉出 - 經投資者中央結算 (每隻股票)	0.1% of Market Value (Min HK\$100) 按股票市值計 0.1% (最低收費\$100 港元)
Stock Withdrawal (Physical) 提取股票 (實貨)	HK\$ 5 Per Board Lot (Min HK\$100) 每手股票收費\$5 港元 (最低收費\$100 港元)
Stock Registration 股票轉名	HK\$ 2.5 Per Board Lot & HK\$1,000 Handling Charge 每手股票\$2.5 港元另加\$1,000 港元手續費
<b>• Other Services 其他費用</b>	
EIPO Application (Non-financing & through our nominee) 電子認購新股 (不融資及不經本公司代理人)	HK\$100 Handling Charge 手續費\$100 港元
FIPO Application(Margin) 認購新股 (保證金)	HK\$300 Handling Charge 手續費\$300 港元
Telegraphic Transfer (T/T) 電匯	HK\$100 Per Remittance Plus Bank Charges \$100 港元另加銀行實收費用
Statement Reprint Charges 重發非當日結單費用	HK\$50 Per Statement 每份\$50 港元
Audit Confirmation (Applicable to Corporate Account) 進行核數證明 (適用於公司帳戶)	HK\$ 200 For Each Account 每帳戶\$200 港元
Request for Dormant Account Confirmation 索取不動戶證明信	HK\$100 Per Certificate 每封\$100 港元